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PTO/SB/106 (8-9)

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## Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私を含む、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願する旨の発明内容について、私が最初かつ唯一の発明者（下記の名称が一つの場合）もしくは最初かつ共同発明者である他の発明者が一つの場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

FLASH MEMORY DEVICE AND A FABRICATION PROCESS THEREOF, METHOD OF FORMING A DIELECTRIC FILM上記発明の明細書（下記の欄で×印がついていない場合は、  
エラーカーク）には、

the specification of which is attached hereto unless the following box is checked:

- 一月一日に提出され、米国出願番号または特許協定条約  
国際出願番号を \_\_\_\_\_ とし。  
(該当する場合) \_\_\_\_\_ に訂正されました。

 was filed on May 31, 2001as United States Application Number or  
PCT International Application Number  
09/867,699 and was amended on  
(if applicable).私は、特許請求範囲を含む上記訂正後の明細書を検討し、  
内容を理解していることをここに表明します。I hereby state that I have reviewed and understand the contents of  
the above identified specification, including the claims, as  
amended by any amendment referred to above.私は、連邦規則法典第37編第1章56項に定義されるところ、  
特許文書の有無について重要な情報を開示する義務があることを認めます。I acknowledge the duty to disclose information which is material to  
patentability as defined in Title 37, Code of Federal Regulations,  
Section 1.56.

PILL BURY WINTHROP FOR

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- 10.5B.1.CC (6-90)  
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## Japanese Language Declaration (日本語宣言書)

私に、米国法民第 35 條 119 条 (a) - (d) 項又は 365 条 (b) 項に基き下記の、米國以外の國の少なくとも一ヶ國を指定している特許権力契約 365 (a) 項に基づく國際出願、又は外國での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している。本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

**Prior Foreign Application(s)**

外国での元行出版

Pat. Appln. No. 2000-115940 Japan  
(Number) (Country)  
(番号) (国名)

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(Number) - (Country)  
(番号) (国名)

私は、第35号米国法典119条(e)項に基いて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.) (Filing Date)  
(出願番号) (出願日)

私は、下記の米国法典第35編120条に基いて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づく権利をここに主張します。また、不出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された万円で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国提出日までの期間に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認定しています。

PCT/JP01/01967

13/March/2001

(Application No.)  
(出願番号)

(Application No. \_\_\_\_\_)

(Filing Date)

私は、私自身の幻覚に基ずいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基づく表明が全て真実であると信じてのこと、さらに故意になされた虚偽の表明及びそれと同様の行為は米国法典第18編第1001条に基ずき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出頭した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣言を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed  
優先権未主張なし

13/March/2000  
(Day/Month/Year Filed)  
(出願年月日)

(Day/Month/Year Filed)  
(出願年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

Pending

(Status: Patented, Pending, Abandoned)  
(現況：已許可済、係屬中、放棄済)

(Status: Patented, Pending, Abandoned)  
(現況：特許許可済、係屬中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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## Japanese Language Declaration (日本語宣言書)

申告状： 私は下記の発明者として、不出頭に際する一切の手続を米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (202) 861-3000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No. names of persons no longer with their firm, to add new persons of their Firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or an attorney of that Firm in writing to the contrary.



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唯一または第一発明者名		Full name of sole or first inventor	
発明者の名前	日付	Tadahiro OHMI	Inventor's signature
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第二共同発明者		Full name of second joint inventor, if any	
第二共同発明者	日付	Shigetoshi SUGAWA	Second inventor's signature
住所		Residence Sendai-Shi, Miyagi, Japan	
国籍		Citizenship Japan	
私呂番		Post Office Address C/O Department of Electronic Engineering Graduate School of Engineering Tohoku University 05 Aza-Aoba, Aramaki, Aoba-Ku, Sendai-Shi, Miyagi, 980-8579 Japan	

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)